

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

Docket No. 200097US2



*Handwritten:*  
12-25  
# 25  
10-1703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Adjustment date: 03/05/2004 SDIRETA1  
11/03/2003 PBRITTON 00000004 150030 09716949  
01 FC:1806 180.00 DA



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

GJM:PJCS:tdm  
I:\ATTYPJCS\200097\IDS TRANSMITTAL.DOC

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Handwritten signature: P. J. Maier*  
\_\_\_\_\_  
Gregory J. Maier  
Attorney of Record  
Registration No. 24,913  
Philippe J.C. Signore, Ph.D.  
Registration No. 43,922

11/03/2003 PBRITTON 00000004 150030 09716949  
01 FC:1806 180.00 DA

RECEIVED  
MAY 16 2003  
TECHNOLOGY CENTER 2800

Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, J.

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

03/05/2004 PERITTON 00000003 150030 09716949

FC:1806 180.00 CR



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/02)  
H:\USER\DNFRA\20 CASES\200097 IDS RELATED.DOC

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Joseph A. Scafetta Jr.*  
Gregory J. Maier

Registration No. 25,599

Joseph A. Scafetta, Jr.  
Registration No. 26,803

RECEIVED  
APR 2 2003  
TC 2800 MAIL ROOM

Adjustment date: 03/05/2004 SDIRETA1  
11/03/2003 PERITTON 00000003 150030 09716949  
01 FC:1806 180.00 CR

December 4, 2003



UNITED STATES PATENT AND TRADEMARK OFFICE  
Box 16  
Washington, DC 20231

Attn: Frank Lebron  
Refund Department

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our Deposit Account Statement of November, 2003. Please review the two highlighted charges on **Serial Number 09/716,949** in the amounts of **\$180.00 each** on fee code #1806.

A **CERTIFIED** Information Disclosure Statement was filed on May 15, 2003. Since this application was not yet under final rejection at that time, **no fee is required as set forth in 37 CFR 1.97**. An IDS was also filed on September 22, 2003. **The applications listed were to be made of record and were NOT to be considered by the Examiner. Therefore, the \$180.00 should not have been deducted from our account and in fact the PTO WAS NOT AUTHORIZED TO DO SO (deposit account statement disclaimer on IDS cover sheet was not checked).**

Please investigate these charges and kindly refund \$360.00 to Deposit Account #150030.

Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel  
Accounting Department

Enclosures



**United States  
Patent and  
Trademark Office**

Return To:

USPTO  
Home  
PageFinance  
Home  
Page**Deposit Account Statement**

Requested Statement Month: November 2003  
 Deposit Account Number: 150030  
 Name: NORMAN F. OBLON  
 Attention:  
 Address: 1940 DUKE STREET  
 City: ALEXANDRIA  
 State: VA  
 Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BA
11/03	1	10398202	236232US0PCT	1617	-\$130.00	\$28
11/03	1	10015634	217391US2	1806	\$180.00	\$28
11/03	4	09716949	200097US2	1806	\$180.00	\$28
11/03	5	09716949	200097US2	1806	\$180.00	\$28
11/03	11	09851132	208268US3	1251	-\$110.00	\$28
11/03	14	10072816	7742-24	1806	\$180.00	\$28
11/03	20	10436243	237415US2CONT	1204	\$168.00	\$28
11/03	34	10433479	239053US6PCT	1616	\$280.00	\$28
11/03	41		226274WOTEMFF	8007	\$20.00	\$28
11/03	42		226272WOTEMFF	8007	\$20.00	\$28
11/03	44		228411WOTEMFF	8007	\$20.00	\$28
11/03	47		226277WOTEMFF	8007	\$20.00	\$28
11/03	52		226276WOTEMFF	8007	\$20.00	\$28
11/03	54		226275WOTEMFF	8007	\$20.00	\$28
11/03	69	10011739	217152USA0	1201	-\$5,040.00	\$28
11/03	141		230971USPROVGET	8007	\$20.00	\$28
11/03	142		231340US130PROVGET	8007	\$20.00	\$28
11/03	523	10466321	240694US0PCT	1616	\$280.00	\$28
11/04	1	10101741	221094US3	1806	\$180.00	\$28
11/04	2	10172910	224503US3	1806	\$180.00	\$28
11/04	45	09782315	203226US0DIV	1806	-\$180.00	\$28
11/04	49	60423393	243613WOTEMFF	8007	\$40.00	\$28
11/04	97	08848077	244189US25INT	8013	\$25.00	\$28
11/04	98	08848077	244189US25INT	8013	\$25.00	\$28
11/04	99	08848077	244189US25INT	8013	\$25.00	\$28
11/04	102	09764370	244189US25INT	8013	\$25.00	\$28
11/04	103	09764370	244189US25INT	8013	\$25.00	\$28
11/04	341	10432262	236629US90PCT	1617	\$130.00	\$28

OSMM&N File No. 200097US2

Serial No. 09/716,949

In the matter of the Application of: Hiromichi ATSUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE  
COMPENSATION UNIT

Dept.: E/M

By: GJM/PJCS/ldm

Due Date: May 28, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications 1



I:\ATTY\PJCS\200097\IDS FR.DOC

Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

GJM:PJCS:tdm  
I:\ATTY\PJCS\200097\IDS TRANSMITTAL.DOC

Gregory J. Maier  
Attorney of Record  
Registration No. 24,913  
Philippe J.C. Signore, Ph.D.  
Registration No. 43,922

OSMM&N File No. 200097US2

Dept.: E/M

By: GJM/PJS/mlm

Serial No. 09/716,949

In the matter of the Application of: Hiromichi ATSUUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE  
COMPENSATION UNIT

Due Date: 9/21/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Check for \$110.00
- Amendment Cover Sheet
- Amendment
- Petition for Extension of Time 1 month
- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications 1

I:\ATTY\PJCS\0557.PJCS\200097US\200097.FR2.DOC





Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: James Phan

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application is attached.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

\_\_\_\_\_  
Gregory J. Maier

Registration No. 25,599

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)  
I:\ATTY\PJCS\200097US\200097.IDS.DOC

Philippe J.C. Signore, Ph.D.

Registration No. 43,922

Linked to OPTMS